STATEMENT OF ECONOMIC INTERESTS TO BE FILED WITH THE COUNTY CLERK

Name: ____

Candidate For (Entity & Position): ____

Other offices, departments, or agencies that require you to file a Statement of Economic Interests form:

____

Full mailing address:

____

Preferred email address (optional): ____

Instructions

You may find the following documents helpful to you in completing this form:

(1) federal income tax returns, including any related schedules, attachments, and forms; and

(2) investment and brokerage statements.

To complete this form, you do not need to disclose specific amounts or values or report interests relating either to political committees registered with the Illinois State Board of Elections or to political committees, principal campaign committees, or authorized committees registered with the Federal Election Commission.

The information you disclose will be available to the public.

You must answer all 7 questions. Certain questions will ask you to report any applicable assets or debts held in, or payable to, your name; held jointly by, or payable to, you with your spouse; or held jointly by, or payable to, you with your minor child. If you have any concerns about whether an interest should be reported, please consult your department's ethics officer, if applicable.

Please ensure that the information you provide is complete and accurate. **If you need more space than the form allows, please attach additional pages for your response.** If you are subject to the State Officials and Employees Ethics Act, your ethics officer must review your statement of economic interests before you file it. Failure to complete the statement in good faith and within the prescribed deadline may subject you to fines, imprisonment, or both.

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1. If you have any single asset that was worth more than $11,600 as of the end of the preceding calendar year and is held in, or payable to, your name, held jointly by, or payable to, you with your spouse, or held jointly by, or payable to, you with your minor child, list such assets below. In the case of investment real estate, list the city and state where the investment real estate is located. If you do not have any such assets, list "none" below.

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2. Excluding the position for which you are required to file this form, list the source of any income in excess of $8,700 required to be reported during the preceding calendar year. If you sold an asset that produced more than $8,700 in capital gains in the preceding calendar year, list the name of the asset and the transaction date on which the sale or transfer took place. If you had no such sources of income or assets, list "none" below.

Source of Income / Name of Asset	Date Sold (if applicable)

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(COMPLETE BUT DO NOT DETACH)

This will be returned to you when statement is filed in the Office of the County Clerk.

Receipt is hereby acknowledged of your Statement of Economic Interests, filed pursuant to the Illinois Governmental Ethics Act. The Statement was filed as of this date.

Name: ____

Entity & Position for which this statement is filed: ______________________________

Address: ____

City: ______________________ State: __________ Zip Code: ______________

3. Excluding debts incurred on terms available to the general public, such as mortgages, student loans, and credit card debts, if you owed any single debt in the preceding calendar year exceeding $11,600, list the creditor of the debt below. If you had no such debts, list "none" below.

List the creditor for all applicable debts owed by you, owed jointly by you with your spouse, or owed jointly by you with your minor child. In addition to the types of debts listed above, you do not need to report any debts to or from financial institutions or government agencies, such as debts secured by automobiles, household furniture or appliances, as long as the debt was made on terms available to the general public, debts to members of your family, or debts to or from a political committee registered with the Illinois State Board of
Elections or any political committee, principal campaign committee, or authorized committee registered with the Federal Election Commission.

4. List the name of each unit of government of which you or your spouse were an employee, contractor, or office holder during the preceding calendar year other than the unit or units of government in relation to which the person is required to file and the title of the position or nature of the contractual services. If you or your spouse had no such affiliations, list "none" below.

Name of Unit of Government	Title or Nature of Services

5. If you maintain an economic relationship with a lobbyist or if a member of your family is known to you to be a lobbyist registered with any unit of government in the State of Illinois, list the name of the lobbyist below and identify the nature of your relationship with the lobbyist. If you do not have an economic relationship with a lobbyist or a family member known to you to be a lobbyist registered with any unit of government in the State of Illinois, list "none" below.

Name of Lobbyist	Relationship to Filer

6. List the name of each person, organization, or entity that was the source of a gift or gifts, or honorarium or honoraria, valued singly or in the aggregate in excess of $600 received during the preceding calendar year and the type of gift or gifts, or honorarium or honoraria, excluding any gift or gifts from a member of your family that was not known to be a lobbyist registered with any unit of government in the State of Illinois. If you had no such gifts, list "none" below.

7. List the name of any spouse or immediate family member living with the person making this statement employed by a public utility in this State and the name of the public utility that employs the relative. If no such spouse or immediate family member is employed by a public utility in this State, list "none" below.

Name and Relation	Public Utility

VERIFICATION

"I declare that this statement of economic interests (including any attachments) has been examined by me and to the best of my knowledge and belief is a true, correct and complete statement of my economic interests as required by the Illinois Governmental Ethics Act. I understand that the penalty for willfully filing a false or incomplete statement is a fine not to exceed $2,500 or imprisonment in a penal institution other than the penitentiary not to exceed one year, or both fine and imprisonment."

(Printed Name of Filer)	*(Signature)*	*(Date)*

DO NOT DETACH

(WILL BE RETURNED AS YOUR RECEIPT)

Persons Required to File a Statement of Economic Interests

1. All Elected Officials
2. All members of Boards or Commissions unless they function only in an advisory capacity
3. All government employees who are compensated for services and not as independent contractors and who:
    - are, or function as, the head of a department, division, bureau, authority or other administrative unit within the unit of local government, or who exercise similar authority within the unit of local government;
    - have direct supervisory authority over, or direct responsibility for the formulation, negotiation, issuance or execution of contracts entered into by the unit of local government in the amount of $1,000 or greater;
    - have authority to approve licenses and permits by the unit of local government; this item does not include employees who function in a ministerial capacity;
    - adjudicate, arbitrate, or decide any judicial or administrative proceedings, or review the adjudication, arbitration or decision of any judicial or administrative proceedings within the authority of the unit of local government;
    - have authority to issue or promulgate rules and regulations within areas under the authority of the unit of local government; or
    - have supervisory responsibility for 20 or more employees of the unit of local government.

For Candidates for Office

Candidates for office must file their Statement of Economic Interests via paper with the DuPage County Clerk pursuant to 5 ILCS 420/4A-108(b) 3.

Statements of Economic Interests **cannot** be e-mailed or sent electronically to the DuPage County Clerk's office. A paper statement must be filed with the office.

Please download the most updated version below:

- Statement of Economic Interests (PDF)

The Statement of Economic Interests is a double-sided, legal size document. The receipt portion of the form must be intact, as it will serve as your proof of filing. If you are unable to print the form to the specifications, you may obtain a paper copy from the DuPage County Clerk's office.

If you have any questions, please call the office at 630-407-5500.

Precinct Committeemen candidates are **NOT** required to file a Statement of Economic Interest.

Economic Interest

Filers:

- File an EI Statement
- Economic Interest Instructions (PDF)
- Filer FAQs

Public:

- Search/View EI Statements
- Visit Economic Interest Portal

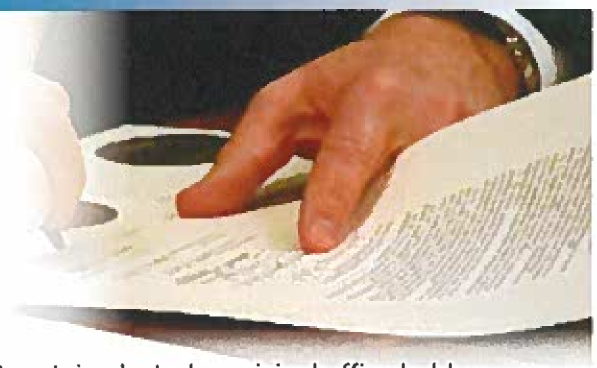
Units of Government/Agency:

UOG/Agency Portal

FACT SHEET

February 27, 2023

STATEMENTS OF ECONOMIC INTERESTS



The Illinois Governmental Ethics Act (5 ILCS 420/) provides that certain elected municipal office holders, candidates, officials and employees are required to file a Statement of Economic Interests.[1]

By February 1 of each year, each municipality is required to notify the local county clerk of individuals that are required to file a Statement of Economic Interests. The county clerk is then required to provide the appropriate form and instructions on how to file it. The Statement of Economic Interests must be filed by May 1 of each year.

MUNICIPAL OFFICIALS REQUIRED TO FILE A STATEMENT OF ECONOMIC INTERESTS

1. All elected officials and candidates for elected office.
2. All appointed members of a governing board, zoning board, zoning board of appeals or planning commission, and appointed members of other boards or commissions who have authority to authorize the expenditure of funds, but not members of boards or commissions who function only in an advisory capacity.
3. All government employees who are compensated for services (not as independent contractors) who:
   - are, or function as, the head of a department, division, bureau, authority or other administrative unit, or who exercise similar authority;
   - have direct supervisory authority over or direct responsibility for the formulation, negotiation, issuance or execution of contracts in an amount of $1,000 or greater;
   - have authority to approve licenses and permits, but not including employees who function in a clerical capacity;
   - adjudicate, arbitrate or decide any judicial or administrative proceeding, or review the adjudication, arbitration or decision of any judicial or administrative proceeding;
   - have authority to issue or adopt rules and regulations; or,
   - have supervisory responsibility for 20 or more employees.
4. Members of the board of any pension fund established under the Illinois Pension Code, if not required to file under any other provision of Section 4A-101.5 of the Act.

REQUIRED DISCLOSURES

Public Act (P.A.) 102-0664, effective January 1, 2022, amended provisions of the Act and expanded the disclosure of financial interests required to be included in the Statement of Economic Interests. **The Act does not require the disclosure of specific dollar amounts or values of the financial interests reported.**

ILLINOIS MUNICIPAL LEAGUE

[1] 5 ILCS 420/4A-101.5

MUNICIPAL OFFICIALS, WHO ARE REQUIRED TO FILE, MUST DISCLOSE THE FOLLOWING INFORMATION

- Assets valued in excess of $10,000, held individually, jointly with a spouse or jointly with a minor child or children;
- Assets in a trust, based on the total value of the assets, regardless of whether distributions have been made;
- Sources of income in excess of $7,500 annually for the filer and spouse;
- The sale or transfer of assets resulting in capital gains in excess of $7,500 and the date of the transaction;
- Creditors of a debt in excess of $10,000 owed by the filer, jointly with a spouse or jointly with a minor child or children;
- Name of the unit of government for which the filer or spouse was an employee, contractor or office holder (not including the position for which the Statement is being filed);
- Names of lobbyists who have an economic relationship with, or are a family member of, the filer;
- The source and type of gifts, individually or in the aggregate, in excess of $500 in the preceding year; and,
- Names of spouse and immediate family members employed by a public utility and the name of the public utility.[2]

The Act does not require the disclosure of specific dollar amounts or values of the financial interests reported.

ASSETS INCLUDE:

- Stocks;
- Bonds;
- Mutual funds;
- Exchange traded funds;
- Commodity futures;
- Investment real estate;
- Beneficial interests in trusts; and,
- Business and partnership interests.

ASSETS DO NOT INCLUDE:

- Personal residences;
- Personal vehicles;
- Savings or checking accounts;
- Bonds, notes or securities issued by federal, state or local governments;
- Medicare benefits;
- Inheritances or bequests;
- Diversified funds;
- Annuities;
- Pensions;
- Retirement accounts;
- College savings plans;
- Qualified tax-advantaged savings programs for disability-related expenses; and,
- Tangible personal property.

[2] *See* P.A. 102-0813 effective May 13, 2022.

DEBTS INCLUDE:

- Any money or monetary obligation owed at any time during the preceding calendar year to an individual, company or other organization, other than a loan that is from a financial institution, government agency or business entity that is granted on terms made available to the general public; or,
- Any debt not specifically exempted (see "Debts Do Not Include").

DEBTS DO NOT INCLUDE:

- Debts to or from financial institutions or government entities, such as mortgages, student loans, credit card debts or loans secured by automobiles, household furniture or appliances, as long as those loans were made on terms available to the general public and do not exceed the purchase price of the items securing them;
- Debts to or from a political committee registered with the Illinois State Board of Elections or political committees, principal campaign committees or authorized committees registered with the Federal Election Commission; or,
- A loan from a member of the filer's family not known by the filer to be registered to lobby under the Illinois Lobbyist Registration Act.

HOW DO I GET MY STATEMENT OF ECONOMIC INTERESTS FORM AND WHERE DO I FILE THE FORM?

Each local county clerk will provide the form and may require the form to be filed electronically or to be filed in written form. An example of a Statement of Economic Interests form is available via this link. A county clerk may require a slightly different form. Provisions requiring certification of review by an ethics officer apply to State of Illinois officials, and do not apply to municipal officials unless a local ordinance requires that review.

Candidates for municipal office are required to include a receipt for filing a Statement of Economic Interests in their candidate submissions in order to appear on an election ballot.

DEFINITIONS IN THE ACT

In addition to the definitions of assets and debts detailed in this fact sheet, specific definitions for terms apply to the Statement of Economic Interests, and include the following:

CREDITOR: means an individual, organization or other business entity to whom money or its equivalent is owed, no matter whether that obligation is secured or unsecured, except that if a filer makes a loan to members of his or her family, then that filer does not, by making such a loan, become a creditor of that individual.

DIVERSIFIED FUNDS: means investment products, such as mutual funds, exchange traded funds or unit investment trusts, that invest in a wide variety of securities across multiple sectors or asset classes. These do not include sector funds.

DEFINITIONS IN THE ACT (continued)

ECONOMIC RELATIONSHIP: means any joint- or shared-ownership interests in businesses and creditor-debtor relationships with third parties, other than commercial lending institutions, where: (a) the filer is entitled to receive (i) more than 7.5% of the total distributable income, or, (ii) an amount in excess of the salary of the Governor (SFY 2022: $184,800); or, (b) the filer together with his or her spouse or minor children is entitled to receive (i) more than 15%, in aggregate, of the total distributable income, or, (ii) an amount in excess of two times the salary of the Governor (SFY 2022: $369,600).

FAMILY: means a filer's spouse, children, step-children, parents, step-parents, siblings, step-siblings, half-siblings, sons-in-law, daughters-in-law, grandparents and grandchildren, as well as the parents and grandparents of the filer's spouse, and any person living with the filer.

FILER: means a person required to file a Statement of Economic Interests pursuant to this Act.

INCOME: means pension income and any income from whatever source derived, required to be reported on the filer's federal income tax return, including, but not limited to: compensation received for services rendered or to be rendered (as required to be reported on any Internal Revenue Service forms, including, but not limited to, forms W-2, 1099, or K-1); earnings or capital gains from the sale of assets; profit; interest or dividend income from all assets; revenue from leases and rentals, royalties, prizes, awards or barter; forgiveness of debt; and, earnings derived from annuities or trusts other than testamentary trusts. This does not include compensation earned for service in the position that necessitates the filing of the Statement of Economic Interests, or investment or interest returns on items excluded as an asset or income from the sale of a personal residence or personal vehicle.

INVESTMENT REAL ESTATE: means any real property, other than a filer's personal residence, purchased to produce a profit, whether from income or resale. Investment real estate must be reported on the Statement but only by listing the city and state where the real estate is located.

LOBBYING and LOBBYIST: mean engaging in activities that require registration under the Illinois Lobbyist Registration Act or an individual who is required to be registered to engage in lobbying activities pursuant to the Illinois Lobbyist Registration Act.

PERSONAL RESIDENCE: means a filer's primary home residence and any real property held by the filer and used by the filer for residential purposes and not for commercial or income generating purposes.

SECTOR FUNDS: means investments in mutual funds or exchange traded funds invested in a particular industry or business.

SPOUSE: means a party to a marriage, a party to a civil union or a registered domestic partner.

A complete list of definitions is available via this link (5 ILCS 420/Art.1).

Municipal officials and candidates for municipal office should thoroughly review the Statement of Economic Interests, including applicable terms, definitions and disclosure requirements, in advance of each May 1 filing deadline to ensure compliance with the Act.

The Office of the Illinois Secretary of State published guidance on the Statement of Economic Interests (available via this link).